



Post Office Box 60 • Los Alamos, New Mexico 87544 • (505)662-5171

## PERSONAL FINANCIAL STATEMENT

|                                     |                   |              |                    |       |
|-------------------------------------|-------------------|--------------|--------------------|-------|
| NAME                                | SOCIAL SECURITY # | HOME PHONE # | WORK PHONE #       | FAX # |
| MAILING ADDRESS                     |                   |              | DATE OF BIRTH      |       |
| RESIDENCE ADDRESS                   |                   |              | E-MAIL ADDRESS     |       |
| NAME AND ADDRESS OF EMPLOYER        |                   |              | POSITION OR TITLE  |       |
| IF JOINT STATEMENT – NAME OF SPOUSE | SOCIAL SECURITY # | HOME PHONE # | WORK PHONE #       | FAX # |
| E-MAIL ADDRESS                      |                   |              | DATE OF BIRTH      |       |
| NAME AND ADDRESS OF EMPLOYER        |                   |              | POSITION OR TITLE? |       |

### STATEMENT OF ASSETS, LIABILITIES, AND NET WORTH (ROUND TO NEAREST DOLLAR)

| ASSETS                                      | CURRENT MARKET VALUE | LIABILITIES AND NET WORTH                     | AMOUNT CURRENTLY OWED |
|---|----------------------|---|-----------------------|
| Cash in this bank                           | \$                   | Real Estate Mortgages (Schedule D)            | \$                    |
| Cash in Other Depositories (Schedule A)     | \$                   | Vehicle Notes Payable (Schedule E)            | \$                    |
| Notes and Contracts Receivable (Schedule B) | \$                   | Notes Payable to Bank or Others (Schedule F)  | \$                    |
| Marketable Securities (Schedule C)          | \$                   | Charge Accounts and Credit Cards (Schedule G) | \$                    |
| Cash Surrender Value of Life Insurance      | \$                   | Due to Brokers                                | \$                    |
| Real Estate (Schedule D)                    | \$                   | Loans on Life Insurance Policies              | \$                    |
| Vehicles (Schedule E)                       | \$                   | Income Taxes Payable                          | \$                    |
| Personal Property                           | \$                   | Other Taxes Payable                           | \$                    |
| Retirement Funds                            | \$                   | Other Liabilities (Detail)                    | \$                    |
| Other assets (Detail)                       | \$                   |   | \$                    |
|   | \$                   | Total Liabilities                             | \$                    |
|   | \$                   | Net Worth (Assets minus Liabilities)          | \$                    |
| <b>Total Assets</b>                         | <b>\$</b>            | <b>Total Liabilities and Net Worth</b>        | <b>\$</b>             |

### STATEMENT OF INCOME AND EXPENSES (MONTHLY AVERAGE FOR LAST TAX YEAR)

| MONTHLY INCOME                                  |           | MONTHLY EXPENSES                |  |           |
|---|-----------|---------------------------------|--|-----------|
| Salary or Wages                                 | \$        | Mortgage or Rent Payment        |  | \$        |
| Salary or Wages (Spouse)                        | \$        |                                 |  | \$        |
| Average Bonus or Commission                     | \$        | Installment Debt                |  | \$        |
| Other Earnings                                  | \$        |                                 |  | \$        |
| Interest and Dividends                          | \$        | Charge and Credit Card Payments |  | \$        |
| Real Estate Rentals (Net Income after Expenses) | \$        |                                 |  | \$        |
| Other Income                                    | \$        |                                 |  | \$        |
|   | \$        | Other Expenses                  |  | \$        |
| <b>TOTAL MONTHLY INCOME</b>                     | <b>\$</b> | <b>TOTAL MONTHLY EXPENSE</b>    |  | <b>\$</b> |

\*Alimony, child support, or separate maintenance income need not be revealed unless used as the basis for payment of obligations to Bank.

If listed, alimony, child support, or separate maintenance is being received under  court order  written agreement  oral agreement.

| PLEASE ANSWER THE FOLLOWING QUESTIONS   | YES | NO | IF YES - EXPLAIN |
|---|-----|----|------------------|
| Are any assets held in trust, in an estate, or in any other name or capacity?       |     |    |                  |
| Do any assets, other than real estate, secure any debts?                            |     |    |                  |
| Are you an obligor, cosigner, or guarantor on any debts of others?                  |     |    |                  |
| Are you defendant in any suit or are there any unsatisfied judgments against you?   |     |    |                  |
| Have you undergone bankruptcy or made assignment for benefit of creditors?          |     |    |                  |
| Do you expect your income or net worth to decline materially in the next 12 months? |     |    |                  |

|  |                            |                                      |
|--|----------------------------|--------------------------------------|
| TOTAL AMOUNT OF LIFE INSURANCE CARRIED | LIFE INSURANCE BENEFICIARY | PERSONAL REPRESENTATIVE FOR YOU WILL |
| \$                                     |                            |                                      |

#### REPRESENTATIONS AND SIGNATURES

TO LOS ALAMOS NATIONAL BANK: I hereby affirm that this a true, complete, and correct statement of my financial condition as of this date. I understand that under 18 U.S.C. 1014 the penalty for misrepresenting any information on this statement is a fine of not more than \$5000, imprisonment of not more than two years, or both, and that other criminal and civil penalties may apply. I understand and agree that you may verify, use, and rely on the information submitted herein for extending financial accommodations to me. You are hereby authorized to receive and dispense credit information regarding your credit experience with me.

X

Date

X

Date



**SCHEDULE E  
VEHICLES AND NOTES PAYABLE**

| YEAR         | MAKE AND MODEL | EQUIPMENT/PACKAGE | MARKET VALUE | PAYMENT | BALANCE OWED |
|--------------|----------------|-------------------|--------------|---------|--------------|
|              |                |                   | \$           | \$      | \$           |
|              |                |                   |              |         |              |
|              |                |                   |              |         |              |
|              |                |                   |              |         |              |
|              |                |                   |              |         |              |
| <b>TOTAL</b> |                |                   | \$           | \$      | \$           |

**SCHEDULE F  
NOTES PAYABLE TO BANK OR OTHERS**

| PAYABLE TO   | PURPOSE AND COLLATERAL | MATURITY | ORIGINAL AMOUNT | PAYMENT | BALANCE OWED |
|--------------|------------------------|----------|-----------------|---------|--------------|
|              |                        |          | \$              | \$      | \$           |
|              |                        |          |                 |         |              |
|              |                        |          |                 |         |              |
|              |                        |          |                 |         |              |
|              |                        |          |                 |         |              |
| <b>TOTAL</b> |                        |          | \$              | \$      | \$           |

**SCHEDULE G  
CHARGE ACCOUNTS AND CREDIT CARDS**

| ACCOUNT HOLDER OR CARD ISSUER | CREDIT LIMIT | PAYMENT | BALANCE OWED |
|-------------------------------|--------------|---------|--------------|
|                               | \$           | \$      | \$           |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
|                               |              |         |              |
| <b>TOTAL</b>                  | \$           | \$      | \$           |